

FORM PTO-1390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>36-1468</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>Unknown 09/936054</b>
INTERNATIONAL APPLICATION NO. <b>PCT/GB00/00900</b>	INTERNATIONAL FILING DATE <b>10 March 2000</b>	PRIORITY DATE CLAIMED <b>30 March 1999 2 July 1999</b>

TITLE OF INVENTION

**NETWORK PRINTING METHOD IN APPARATUS**

APPLICANT(S) FOR DO/EO/US

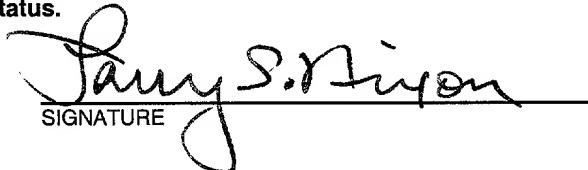
**THOMAS et al**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 To 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>Unknown</b>		INTERNATIONAL APPLICATION NO. <b>PCT/GB00/00900</b>		ATTORNEY'S DOCKET NUMBER <b>36-1468</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1000.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO .....\$710.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
<b>CLAIMS</b>		<b>NUMBER FILED</b>		<b>NUMBER EXTRA</b>	
<b>Total Claims</b>		11		-20 =	
<b>Independent Claims</b>		3		-3 =	
				<b>RATE</b>	
				X	\$18.00
				X	\$80.00
<b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b>				\$270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 860.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				0.00	
<b>SUBTOTAL =</b>				<b>\$ 860.00</b>	
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 860.00</b>	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> per property				40.00	
Fee for Petition to Revive Unintentionally Abandoned Application (\$1240.00 - Small Entity = \$620.00)				0.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 900.00</b>	
				Amount to be:	
				refunded \$	
				Charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$900.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.					
<b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b>  NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 <sup>th</sup> Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000					
				 SIGNATURE	
				<b>Larry S. Nixon</b> NAME	
				<b>25,640</b> REGISTRATION NUMBER	
				<b>September 6, 2001</b> Date	

09/936054

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

**THOMAS et al**

Atty. Ref.: **36-1468**

Serial No. **Unknown**

Group:

National Phase of: **PCT/GB00/00900**

International Filing Date: **10 March 2000**

Filed: **September 7, 2001**

Examiner:

For: **NETWORK PRINTING METHOD IN APPARATUS**

\* \* \* \* \*

**September 7, 2001**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

Prior to calculation of the filing fee and in order to place the above identified application in better condition for examination, please amend the claims as follows:

**IN THE CLAIMS**

Please substitute the following amended claims for corresponding claims previously presented. A copy of the amended claims showing current revisions is attached.

3. (Amended) A method according to claim 1 in which at step d) at said second computer:

sending the data required for said print request to the network address of the first computer, using the port associated with printer name or application program name identified in the request; and

in response to said request identifying an application program name, sending and identification of the type of data being sent in addition to the data itself.

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4. (Amended) A method according to claim 1 in which the stored data accessed by said first computer associates only printers with each of said set of network address ports and said stored data accessed by said second computer associates only printer names with each of said set of network address ports.

5. (Amended) A method according to claim 1 in which the stored data accessed by said first computer associates only application programs with each of said predetermined set of network address ports and said stored data accessed by said second computer associates only application program names with each of said set of network address ports.

6. (Amended) A method according to claim 1 in which, instead of the received data being sent to a printer or application program the data is compressed and/or stored and/or transmitted to another computer.

7. (Amended) A method according to claim 3 further comprising the step at said first computer of only accepting data from a predetermined set of data types.

8. (Amended) A method according to claim 1 further comprising the steps of:  
encrypting the data before sending it to the first computer; and  
decrypting the data received at said first computer.

**THOMAS et al**  
Serial No. **Unknown**

11. (Amended) A data carrier carrying instructions for enabling a computing apparatus to carry out the method of claim 1.

**REMARKS**

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "**Version with markings to show changes made.**"

The above amendments are made to place the claims in a more traditional format.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:



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**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

3. (Amended) A method according to [claims 1 or 2] claim 1 in which at step d)  
at said second computer:

sending the data required for said print request to the network address of  
the first computer, using the port associated with printer name or application program  
name identified in the request; and

in response to said request identifying an application program name,  
sending and identification of the type of data being sent in addition to the data itself.

4. (Amended) A method according to [any preceding claim] claim 1 in which the  
stored data accessed by said first computer associates only printers with each of said  
set of network address ports and said stored data accessed by said second computer  
associates only printer names with each of said set of network address ports.

5. (Amended) A method according to [any of claims 1 to 3] claim 1 in which the  
stored data accessed by said first computer associates only application programs with  
each of said predetermined set of network address ports and said stored data accessed  
by said second computer associates only application program names with each of said  
set of network address ports.

6. (Amended) A method according to [any of claims 1 to 3 and 5] claim 1 in which, instead of the received data being sent to a printer or application program the data is compressed and/or stored and/or transmitted to another computer.

7. (Amended) A method according to [claims 3 to 6] claim 3 further comprising the step at said first computer of only accepting data from a predetermined set of data types.

8. (Amended) A method according to [any preceding claim] claim 1 further comprising the steps of:

encrypting the data before sending it to the first computer; and  
decrypting the data received at said first computer.

11. (Amended) A data carrier carrying instructions for enabling a computing apparatus to carry out the method of [any one of claims 1 to 10] claim 1.

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NETWORK PRINTING METHOD IN APPARATUS

The present invention relates to the performance of printing operations in a computer network. The invention is particularly applicable to printing operations  
5 carried out in a client/server computer environment.

Networked computer systems are known in which the computers connected by the network can be divided into two categories. The first category are the server computers which are often used to store databases and to run database management  
10 programs to allow access and manipulation of the data in the database. The second category are the client computers which, under the control of a user, access the data stored by the server computer and enable operations on that data such as editing, analysis, downloading or printing.

15 Within the category of client/server computer architecture there are a number of sub-classes. These classes generally distinguish various client/server architectures depending on the distribution of processing between the client computers and the server computers. One such class is commonly called "Thin Client" and refers to a situation in which, in response to requests for information from the client computer,  
20 the application programs on the server computer carry out most of the data processing. In other words, the client computer allows a user to make requests for information, the server computer then carries out the necessary processing to produce the data and passes back the results to the client computer for viewing.

25 In some such thin client/server arrangements, the server computer holds configuration data and identification/location data for every printer connected to the network. When a print request is made by the user via the client computer, the printing task is carried out under the control of the server computer.

30 One problem with performing printing operations over a large network of computers is that of managing the configuration data and identification/location data for the printers on the network. For example, printers or client computers may move their physical positions thereby requiring changes to be made to the appropriate

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location data. Printers may be upgraded resulting in a change in configuration data and perhaps even the network address of the printer. The resulting overhead in managing the printer data so as to be able to send print jobs to a printer that is appropriate for the physical location of the client computer is costly and therefore  
5 undesirable. In some situation, there may be no appropriate printer in the proximity of the client computer making the physical printing of material impossible.

According to a first aspect of the present invention there is provided a method of processing data for performing a printing operation in response to a print request  
10 made by a first computer to a second computer in a network of computers, the method comprising the steps of:

i) at said second computer:

- a) accessing stored data associating one or more printer identifiers and/or application program identifiers with each member of a set of network address ports;
- 15 b) receiving a print request from said first computer, said request comprising an identification of one of said stored printer identifiers or application program identifiers;
- c) identifying the network address of said first computer; and
- d) sending data required for said print request to the network address of the  
20 first computer, using the port associated with the printer identifier or application program identifiers identified in the request; and

ii) at said first computer:

- a) accessing stored data defining an association between each member of the set of network address ports and a printer and/or application program; and
- 25 b) in response to data being received via one of said predetermined ports, sending the received data to the printer or application program associated, in accordance with said stored data, with the network port from which the data was received.

30 This method of processing data for printing removes the need for the server computer to be configured with the details, locations and driver programs for all the printers on the network. In addition, when the print request results in a particular application program being used to open a file and present it to the user then this provides further

advantages. For example, it avoids the need for the user to have any printing facilities available (such as a mobile user connected to a network by a GSM phone but not in the proximity of a printer) or removes the delay of physically printing the document. The invention is also advantageous when the network address of a client computer  
5 changes each time it is logged on to a network, for example, using the dynamic host configuration protocol (DHCP).

Embodiments of the present invention are described below with reference to the accompanying drawings in which:

10 Figure 1 shows a schematic view of a network of computers controlling and monitoring a telecommunications system;

Figure 2 is a diagrammatic representation of a client computer connected to a server computer in the network shown in Figure 1;

Figure 3 is a diagrammatic representation of data stored on the client and  
15 server computers shown in Figure 3;

Figure 4 is a flow diagram showing the processing carried out by the server computer shown in Figure 2; and

Figure 5 is a flow diagram showing the processing carried out by the client computer shown in Figure 2;

20 Figure 1 shows a schematic view of a large networked computer system 101 that is arranged to monitor and control a telephone system 103 via a telephone exchange 105. The telephone exchange 105 is connected to a server computer 107 that is arranged to control and monitor the activity of the telephone exchange 105.  
25 The server 107 is connected to a database 109 that it uses to store collected data and control programs. The server computer 107 is connected to a wide area network (WAN) 111 along with a further server computer 113 connected to a corresponding storage device 115. The WAN 111 is also connected to two local area networks (LAN) 117. Server computers 119 and printers 121 are connected variously to the  
30 LANs 117 and the WAN 111. The computers 107, 113, 119 are arranged to communicate with each other via the networks 111, 117 using IP network protocol such as TCP/IP (other alternative IP protocols include UDP/IP and RTP/IP). In addition, any one of the printers 121 may be arranged to carry out printing operations in

accordance with instructions sent from any one of the computers 107, 113, 119 connected to the networks 111, 117.

As will be known by those skilled in the art, each device in an IP network is assigned a unique address (IP address) that uniquely identifies the device in the network 101. In addition, each IP address is divided into approximately sixty four thousand port numbers each enabling a notionally separate network connection. An IP address is denoted by a string of numbers delineated with periods (or full stops) (e.g. 123.2.154.209) and a port number for a particular address is appended to the IP address after a colon (e.g. 123.2.154.209:63295 where 63295 is the port number).

The server computers 107, 113 are conventional computers running the VMS operating system while the client computers 119 are PC's with conventional operating systems such as Windows. The server computers 107, 113 and the client computers also run appropriate (and conventional) Client/Server software that enables the client computers to access the control and monitoring programs and data on the server computers 107, 113 via the networks 111, 117. This enables users to print reports or other documents using one of the printers 121 attached to the networks 111, 117.

Figure 2 shows parts of the network 101 in further detail. The operating systems and application programs that are resident on the server 107 and the client 119 are shown as functional blocks. The server 107 is running the VMS operating system that includes a print spooler 203. The print spooler 203 is a conventional program that handles printing tasks for the operating system. Such spoolers have a file as their input along with a print command that details the printing task (e.g. number of copies). Once these inputs have been received, the print spooler communicates with the assigned printer 121 to produce the requested output.

The resident software includes a further program 211 herein termed the network printing program. The program 211 also handles printing tasks but instead of sending a file and print command to a printer, is arranged to send data to the client computer from which the print request was initiated in a manner that will be explained in further detail below.

The sever computer 107, as part of the normal log-on procedure, detects when a user logs on to the server 107 via the client computer 119 and stores the IP address of the client computer 119 in a file 301 (see Figure 3) that is specific to the

user. In addition to the IP address 203, the file 301 also contains a reference 305 to a corresponding settings file 307 for the particular user. The settings file 307 comprises four entries each having three fields, the first field for printer or application program name, the second field for an IP address and the third field for an IP port number.

The functions of the network printing program 211 will now be described further with reference to Figure 4. In response to the reception of a print request from the client 119, at step 401 the network printing program 211 opens the settings file 307, extracts the first field from each entry and presents these to the user as the choice of printers available for the print request. In response to the user's choice, at step 403 the network printing program 211 extracts the corresponding second and third fields from the chosen entry (filling in the blank second field from the IP address entry in the data file 301). At step 405 the network printing program 211 opens a network connection for the identified IP address and port number. At step 407, if the user has chosen the default entry (as opposed to a printer entry) in step 403 above, the network printing program 211 inserts a line in the file (containing the data to be printed) that recites the file extension (e.g. "doc" for a word-processing file or "txt" for a text file). If any conversion is required between the server file format and the client file format then this is carried out at step 409. Then, at step 411 the network printing program 211 sends the file to the client computer 119 and, at step 413, closes the IP connection.

With reference to Figure 2, the client computer 119 has a corresponding network printing program 213 that is in communication with the operating system 215 and runs as a background process monitoring selected ones of the network ports 217. The network printing program 213 is started up automatically when the client computer 119 is switched on and refers to an initialisation file 309 (shown in Figure 3) to identify the IP ports that should be monitored.

The functions of the network printing program 213 will now be described with reference to Figure 5. At step 501 the program 213 monitors the IP ports defined in respective entries of the file 309. The file 309 also defines what action should be taken when a file is received via each of the defined ports. Accordingly, when data is received via a given port then at step 503 the network printing program 213 determines the appropriate action to be taken with the data. If the file 309 indicates

that data received on a given port should be sent directly to a printer then this is carried out at step 505 after which the network printing program 213 returns to monitoring the IP ports 217 at step 501.

If the port entry in the file 309 determines that a received data should not be sent directly to a printer then, at step 507, the network printing program 213 opens the file containing the data and inspects the first line for a file extension. If at step 509 the file extension is identified as "csv" then, at step 511, the program 213 saves the file with the extension appended and sends a command to the operating system to execute the file. The network printing program 213 then returns to step 501 to monitor the IP ports 217. If at step 513 the file extension is identified as "txt" then at step 515 the program 213 saves the file with the extension appended, sends a command to the operating system to execute the file and returns to step 501 to monitor the ports 217. If at step 517 the file extension is identified as "htm" then at step 519 the program 213 saves the file with the extension appended, sends a command to the operating system to execute the file and then returns to step 501 to monitor the ports 217. If at step 521 the file extension of the received file is identified as "doc" then at step 523 the program 213 saves the file with the extension appended, sends a command to the operating system to execute the file and then returns to step 501 to monitor the ports 217. If, in the file extension recognition steps 509, 513, 517, 521 no extension is recognised then, at step 525, the program 213 saves the file with the extension "txt" appended, sends a command to the operating system to execute the file and then returns to step 501 to monitor the ports 217. The result of executing the file is that file will be opened using the associated application program i.e. Excel for "csv", Word for "doc", Notepad for "txt" and an the preferred internet browser for "htm" (the program associated with a given file extension may be specified by the user in some operating systems).

As described above, once the print request made by the user has been received by the client computer 119, the data will have either been sent to a local printer or presented to the user via an application program. In the case of the local printer this is one that is defined by the printer options set by the user on the client computer as opposed to any printer settings defined on the server computer. This removes the need for the server computer to be configured with the details, locations

and driver programs for all the printers on the network. This is a difficult task to achieve when the network is not small. When printers can be moved on the network or even removed from it easily the task of maintaining printer configuration details becomes more difficult. Since the printer configuration settings are defined on the client computer they are no longer the responsibility of the server computer administrator and instead can be modified whenever necessary by the user in accordance with the local printer configurations and availability. This is of particular advantage when the client computer is mobile i.e. can be moved readily between physical locations and be connected to the network at any one of those locations.

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When the print request results in a particular application program being used to open the data file then this provides further advantages. For example, it avoids the need for the user to have any printing facilities available and removes the delay of physically printing the document. This is of particular advantage where the user needs to work on the document in an electronic form e.g. to edit or e-mail it.

15

In the system described above with reference to Figures 4 and 5 the user is able to select (at step 403) from one of three printers and a single application as determined by the contents of the settings file 307 stored on the server 107 for the particular user. If the user wishes to change these setting the file 307 this can be accomplished either by directly editing the settings file 307 or by a suitable interface facility such as a control panel. In the present embodiment there are four ports reserved for use by the network printing programs 211, 213 and therefore the number of printers/applications is limited to this number (however it will be appreciated that more ports could be provided by adding further entries to 307).

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In order to change the options presented to the user, the first field of one or more of the entries in the settings file 307 is modified. If the new setting is for a printer the field should contain a recognised printer name such as "LPT1" or "COM1" so that the entry is properly recognised in step 403. If an application is to be specified then the application name should be entered in the first field of the appropriate line in the file 307. The system can be set up for a combination of printers or applications or exclusively for printer or for applications.

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When the file 307 has been amended, the entries in the client file 309 can also be amended for the corresponding ports. In other words, for each port defined in the client settings file 309, the instruction (to send the file to a printer or to open with a predetermined application program) can be amended to correspond to the function defined in the first field of the entry for that port in the settings file 307 on the server 107. However, it is not essential that the settings in the file 309 correspond to those in file 307. For example it is also possible for a user to assign what is shown in file 309 as a printer port to a specific application program simply by appropriate editing of file 309.

Although the embodiment above is arranged to either send a file received by the client computer 119 directly to a printer or open it with an application further alternative actions are possible. For example, the file could be received and then processed using a batch processing file. Such batch files can be used to carry out many types of processing such as editing, sending to another location (by e-mail, file transfer, facsimile or any other suitable method) for compressing or for archiving. Such a batch file would be referred to by a command entered in the appropriate line of the client settings file 309.

20

The data file 301 described above also contains a reference to the settings file 307 for the user as well as the IP address. As an alternative, the setting file could be applicable to all users and therefore no reference would be required to the settings file 307 in file 301. A further alternative would be for the client computer to send the settings file from the client to update a user-specific settings file on the server.

In the embodiment described above, the server network printing program 211 is described as a single program. However, as an alternative, a separate program can be run for each of the possible choices in the settings file 307. In this case, each version is set up to deal with either a request to print to a printer or to carry out network printing in accordance with the invention. Each of the network printing programs can be arranged to present itself to the user as a normal printer.

Although the embodiment above is described using the VMS operating system and PC client computers, it will be clear to those skilled in the art that the invention is applicable to any server based operating system and its associated client systems.

5           In some circumstance it would be appropriate to ensure that the files being transferred between the client and the server 107, 119 could not be altered or read by an unauthorised party. In this case, encryption techniques can be applied to the data transfer. A mechanism for improving the security that is implemented in the above embodiment is to limit the set of file extensions that the client computer will  
10 accept. This reduces the possibility of undesirable files such as executable files being transmitted to the client computer 119.

As will be understood by those skilled in the art, the network printing programmes 211, 213 both together and independently embody aspects of the  
15 invention. These programmes 211, 213 can be contained on various transmission and/or storage mediums such as a floppy disc, CD-ROM, or magnetic tape so that the programmes can be loaded onto one or more general purpose computers or could be downloaded over a computer network using a suitable transmission medium.

20 Unless the context clearly requires otherwise, throughout the description and the claims, the words "comprise", "comprising" and the like are to be construed in an inclusive as opposed to an exclusive or exhaustive sense; that is to say, in the sense of "including, but not limited to".



## CLAIMS

1. A method of processing data for performing a printing operation in response to a print request made by a first computer to a second computer in a network of  
5 computers, the method comprising the steps of:

i) at said second computer:

a) accessing stored data associating one or more printer identifiers and/or application program identifiers with each member of a set of network address ports;

b) receiving a print request from said first computer, said request comprising  
10 an identification of one of said stored printer identifiers or application program identifiers;

c) identifying the network address of said first computer; and

d) sending data required for said print request to the network address of the first computer, using the port associated with the printer identifier or application  
15 program identifiers identified in the request; and

ii) at said first computer:

a) accessing stored data defining an association between each member of the set of network address ports and a printer and/or application program; and

b) in response to data being received via one of said ports, sending the  
20 received data to the printer or application program associated, in accordance with said stored data, with the network port from which the data was received.

2. A method according to claim 1 in which at step c) at said first computer:

in response to data being received via one of said ports associated, in  
25 accordance with said stored data, with a printer, sending the received data to that printer; and

in response to data being received via one of said ports associated, in accordance with said stored data, with an application program, causing said data to be displayed using that application program.

30

3. A method according to claims 1 or 2 in which at step d) at said second computer:

sending the data required for said print request to the network address of the first computer, using the port associated with printer name or application program name identified in the request; and

in response to said request identifying an application program name, sending  
5 and identification of the type of data being sent in addition to the data itself.

4. A method according to any preceding claim in which the stored data accessed by said first computer associates only printers with each of said set of network address ports and said stored data accessed by said second computer  
10 associates only printer names with each of said set of network address ports.

5. A method according to any of claims 1 to 3 in which the stored data accessed by said first computer associates only application programs with each of said predetermined set of network address ports and said stored data accessed by  
15 said second computer associates only application program names with each of said set of network address ports.

6. A method according to any of claims 1 to 3 and 5 in which, instead of the received data being sent to a printer or application program the data is compressed  
20 and/or stored and/or transmitted to another computer.

7. A method according to claims 3 to 6 further comprising the step at said first computer of only accepting data from a predetermined set of data types.

25 8. A method according to any preceding claim further comprising the steps of:  
encrypting the data before sending it to the first computer; and  
decrypting the data received at said first computer.

9. A method of processing data for performing a printing operation in response  
30 to a request made by a first computer to a second computer in a network of computers, the method comprising the steps of:  
at said first computer:

a) accessing stored data defining an association between each member of a set of network address ports and a printer and/or application program;

b) in response to data being received via one of said ports associated in accordance with said stored data with a printer, sending the received data to that  
5 printer; and

c) in response to data being received via one of said ports associated, in accordance with said stored data, with an application program, causing said data to be displayed using that application program.

10 10. A method of processing data for performing a printing operation in response to a print request made by a first computer to a second computer in a network of computers, the method comprising the steps of:  
at said second computer:

a) accessing stored data associating one or more printer identifiers and/or  
15 application program identifiers with each member of a set of network address ports;

b) receiving a print request from said first computer, said request comprising an identification of one of said stored printer identifiers or application program identifiers;

c) identifying the network address of said first computer;

20           d) sending the data required for said print request to the network address of  
the first computer, using the port associated with printer identifier or application  
program identifier identified in the request; and

e) in response to said request identifying an application program identifier, sending and identification of the type of data being sent in addition to the data itself.

25

11. A data carrier carrying instructions for enabling a computing apparatus to carry out the method of any one of claims 1 to 10.

ABSTRACT  
NETWORK PRINTING METHOD IN APPARATUS

A method and apparatus for carrying out printing requests is disclosed for a client/server networked computing environment. Where the client computers are arranged to simply receive data in response to requests and carry out relatively little processing on the received data the client computer is termed "Thin". In such an environment print requests from a client computer to a server computer are executed under the control of the server computer. In a large network of computer with many printers this results the maintenance of printer configuration data being a large task. The invention alleviated this problem by enabling the client computer to take control of the printing task.

Figure (2)

15

053634 030701  
T07050 "4502E50

Figure 1

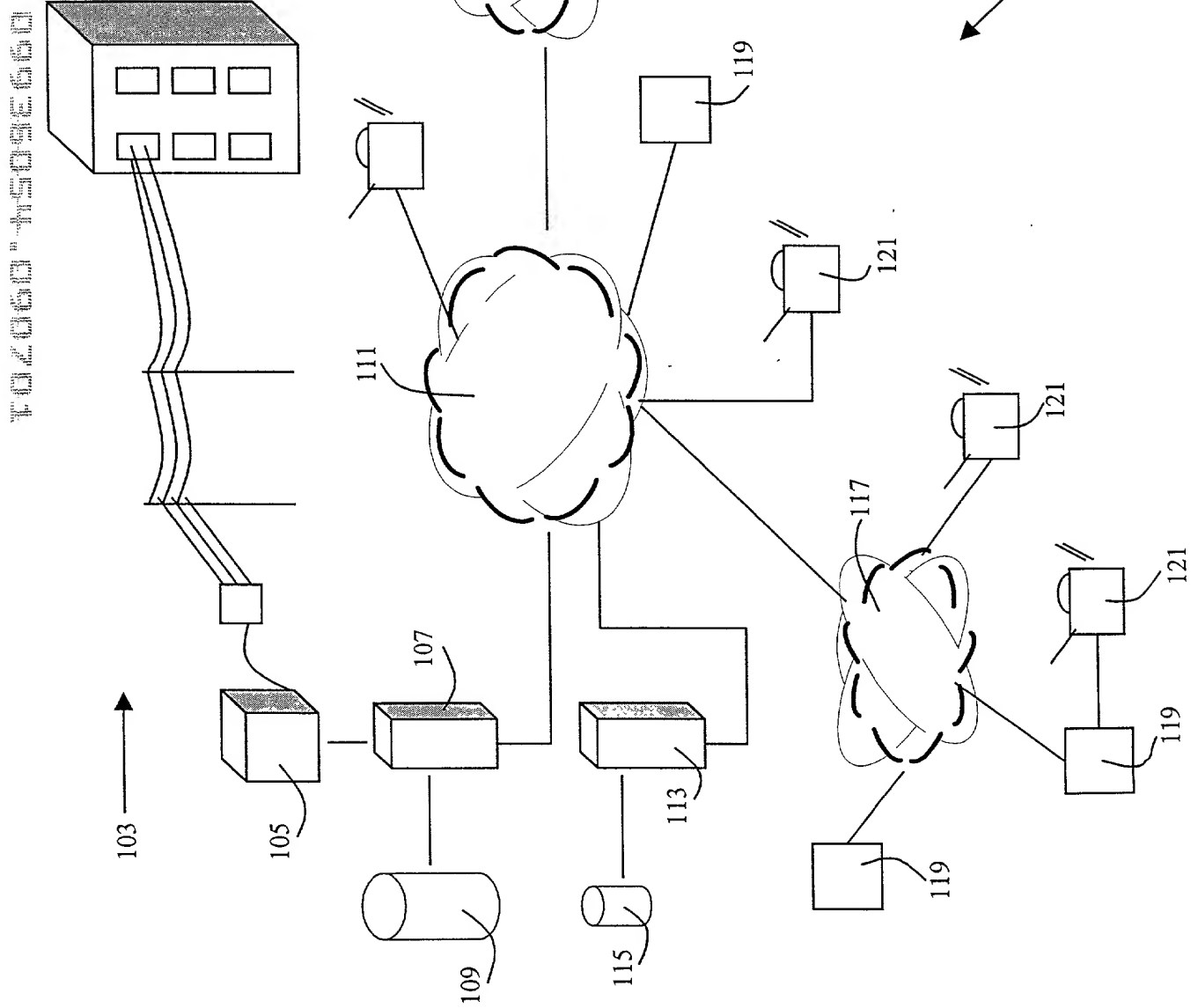


Figure 2

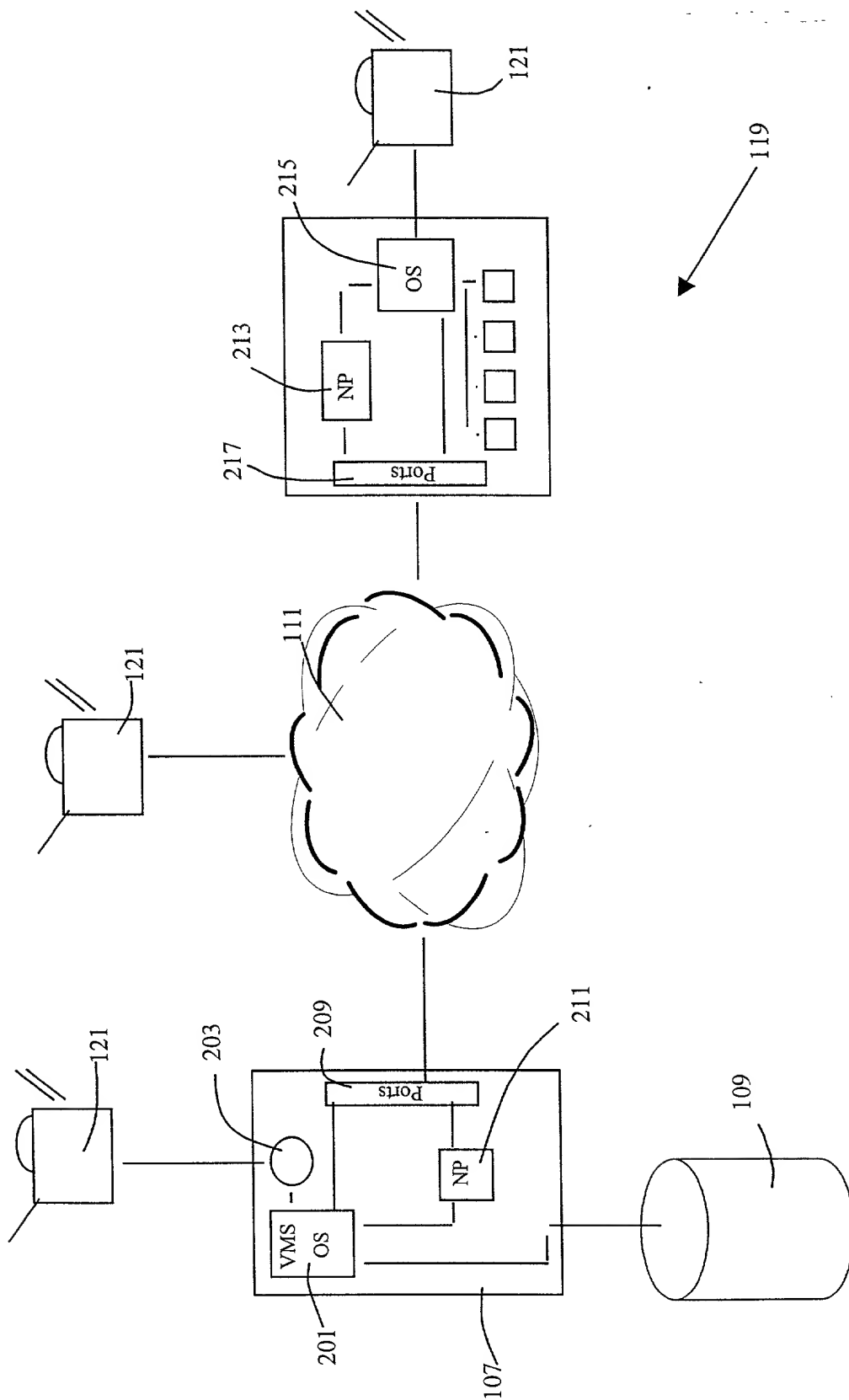
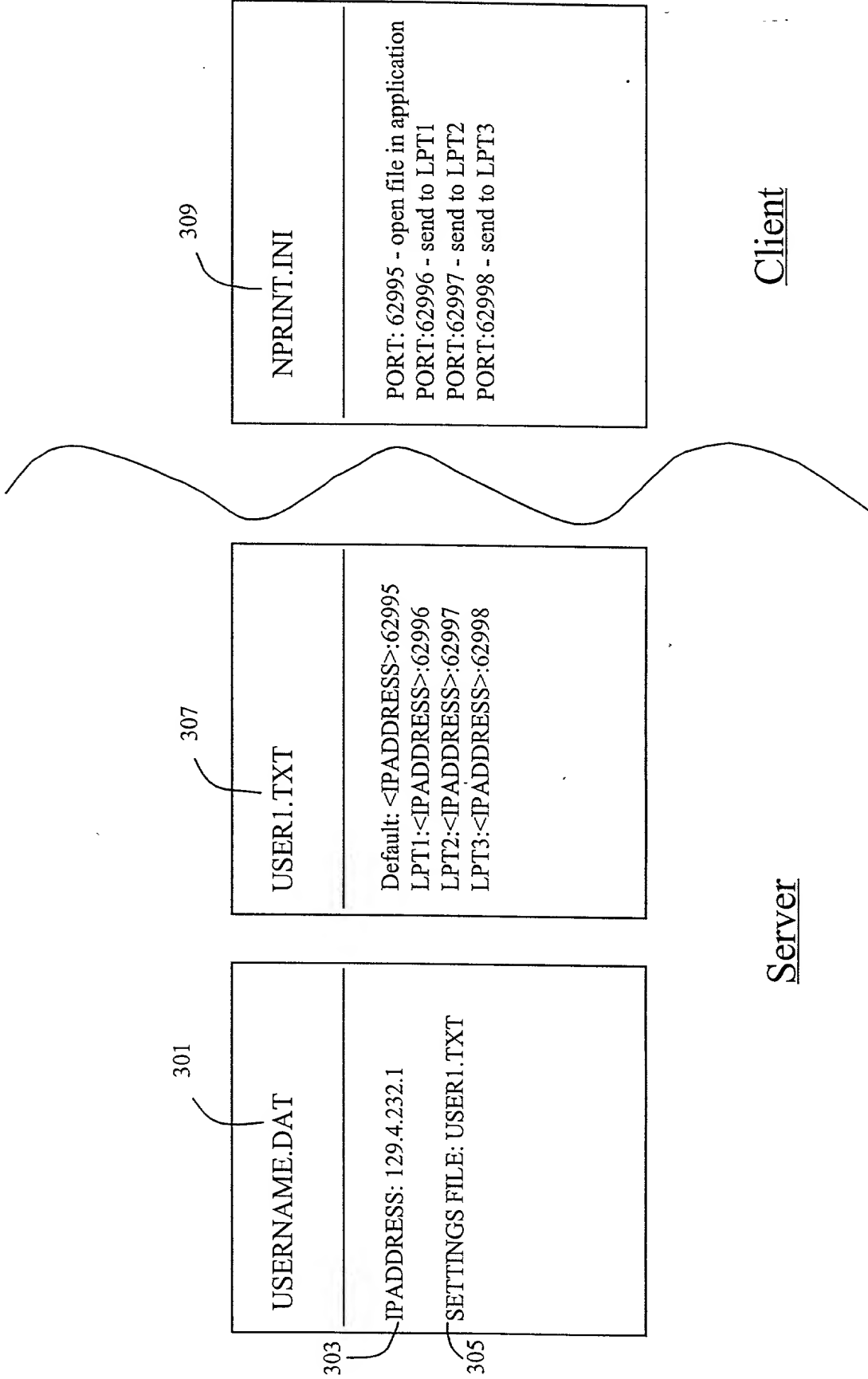


Figure 3



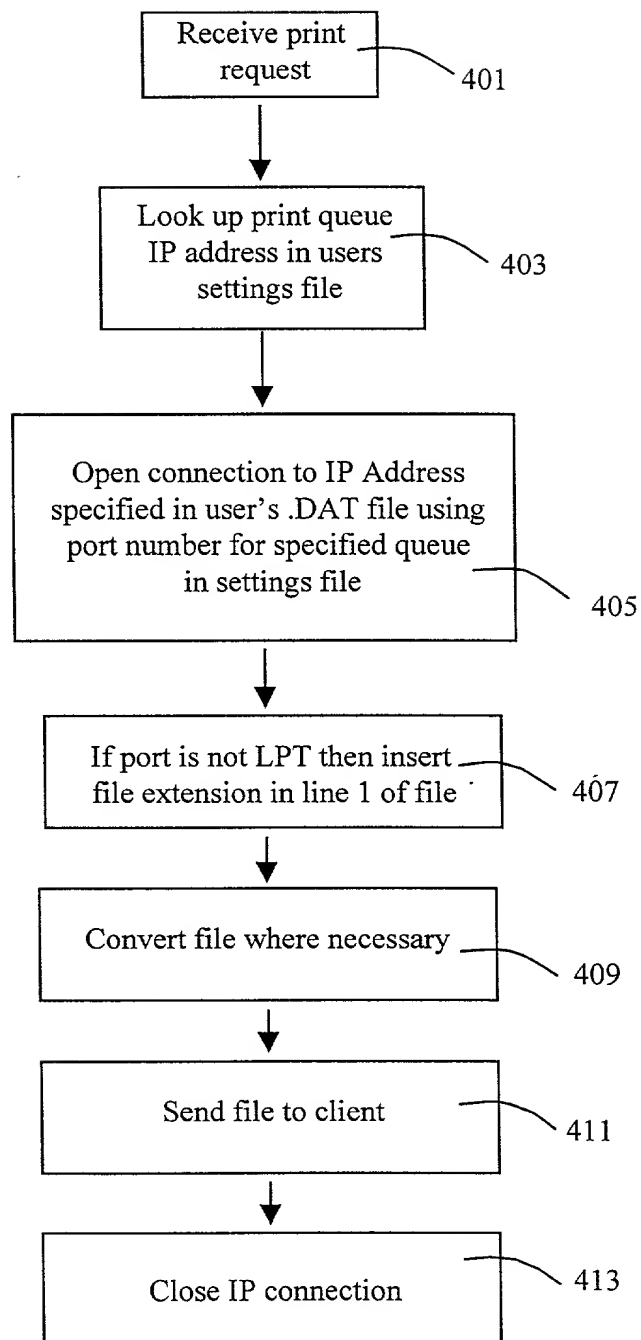
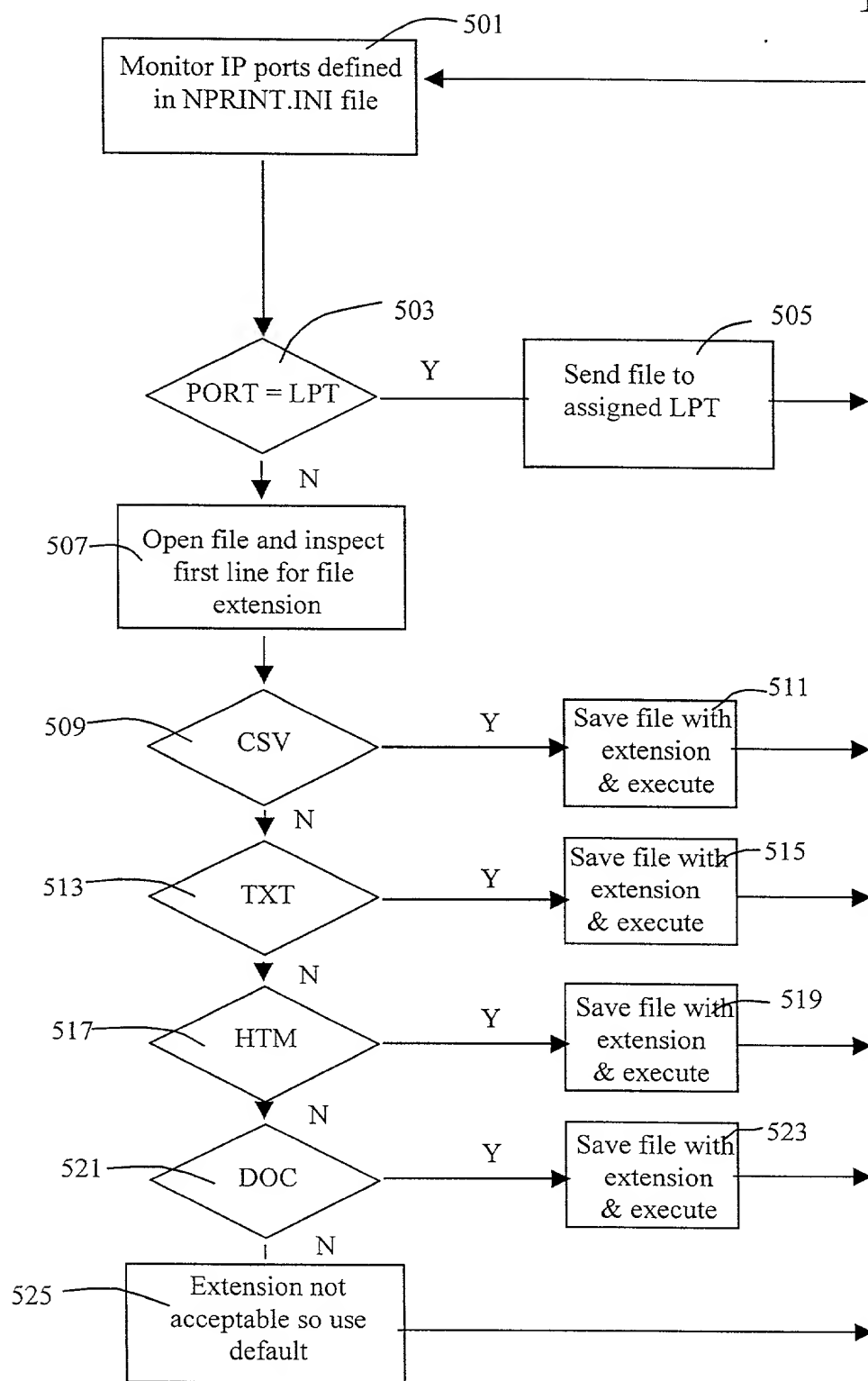
**Figure 4**



Figure 5



**RULE 63 (37 C.F.R. 1.63)**  
**INVENTORS DECLARATION FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NETWORK PRINTING METHOD IN APPARATUS**

the specification of which (check applicable box(es)):

☐ is attached hereto  
☐ was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_ (Atty Dkt. No. 36-1468)  
☒ was filed as PCT International application No. PCT/GB00/00900 on 10 March 2000  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
9907368.6	Great Britain	30 March 1999
99305273.7	Europe	2 July 1999

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

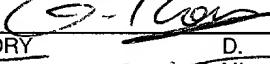
Application Number	Date/Month/Year Filed
--------------------	-----------------------

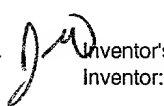
I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/GB00/00900	10 March 2000	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffrey H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

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☐ See attached sheet(s) for additional inventor(s) information!!